

Practitioner's Docket No. 17396/09057CIP

PATENT

| Preliminary Classification: |
|-----------------------------|
| Proposed Class: |
| Subclass: |

1050 U.S. P.T.O. 1023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Commissioner for Patents Post Office Box 2327 Arlington, VA 22202

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

CERTIFICATION UNDER 37 C.F.R. § § 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

| ✓ deposited with the United States Postal Service in an envelope | e addressed to Box Patent Application, Commissioner for Patents, P. O. Box |
|--|--|
| 2327, Arlington, VA 22202 | |
| 37 CFR 1.8a | 37 CFR 1.10 |
| ☐ with sufficient postage as first class mail. | ✓ as "Express Mail Post Office to Addressee" Mailing Label |
| | No. <u>ET938670044US.</u> |
| | TRANSMISSION |
| $\hfill \square$ facsimile transmitted to the Patent and Trademark Office, 703 | |
| | KYUU |
| | Signature |
| Data: Fahmam: 21, 2002 | |

Date: February 21, 2002

<u>Diane Filatov</u> Type or print name of person certifying

*Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

For (title): METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT WITH DIAZOLE, TRIAZOLE AND STROBILURIN-TYPE FUNGICIDES

1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications as follows:

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

67 Page(s) of Specification (including title page)

8 Page(s) of Claims

1 Page(s) of abstract

B. Other Papers Enclosed

5 Page(s) of Patent Application Data Sheet

4. Declaration or Oath

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of all of the above-named inventors.

5. Inventorship Statement

The inventorship for all the claims in this application is the same.

6. Language

English

7. Assignment

An assignment of the invention to follow.

8. Certified Copy

Not applicable.

9. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

| CLAIMS AS FILED | | | | | |
|--|--------------------|------------------------|--------------|----------|---------------------------------------|
| Claims | Number Filed | Basic Fee Allowance | Number Extra | Rate | Basic Fee 37 CFR 1.16(\$740.00 |
| Total Claims (37 CFR 1.16(c)) | 63 | - 20 = | 43 x | \$18.00 | \$774.00 |
| Independent Clain (37 CFR 1.16(b)) | ns 8 | - 3 = | 5 x | \$84.00 | \$420.00 |
| Multiple Depende Claim(s), if any (37 CFR 1.16(d)) | nt | | + | \$280.00 | \$0.00 |
| F | iling Fee Calculat | ion | | | \$1,934.00 |
| | ent Being Made a | | | | \$1,934.00 |

11. Method of Payment of Fees

Check in the amount of \$1,610.00 is enclosed.

12. Instructions as to Overpayment

Credit Account No. 50-1196.

13. Relate Back

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

Date: Feb. 21, 2002

Reg. No.: 35,124

Tel. No.: 864-250-2238 Fax No: 803-256-7500

Signature of Practitioner

Charles E. Dunlap Nelson Mullins Riley & Scarborough, LLP

Keenan Building, Third Floor

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

Application No.: Not yet assigned

Group No.: Unknown

Filed: February 21, 2002

Examiner: Unknown

For: METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT WITH DIAZOLE,

TRIAZOLE, AND STROBILURIN-TYPE FUNGICIDES

Box Patent Application Commissioner for Patents P.O. Box 2327 Arlington, VA 22202



02-21-2002

U.S. Patent & TMOfc/TM Mall Rcpt Dt. #22

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET938670044US Date of Deposit February 21, 2002

I hereby state that the following attached papers or fees

- 1. Fee Transmittal (1 page).
- 2. New Application Transmittal (4 pages).
- 3. Patent Application Data Sheet (5 pages).
- 4. Patent Application, Title Page Abstract (76 pages).
- 5. Check for \$1,93400
- 6. Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed Box Patent Application, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Diane Filatov

gnature of person mailing paper or fee

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) 1934 | .00 |
|-----------|-----|
|-----------|-----|

| Complete if Known | | |
|----------------------|--------------------|--|
| Application Number | Unassigned | |
| Filing Date | 02/21/2002 | |
| First Named Inventor | Jawed Asrar et al. | |
| Examiner Name | Unknown | |
| Group Art Unit | | |
| Attorney Docket No. | 17396/09057CIP | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | |
|---|--|----------|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any every authorized to: | 3. ADDITIONAL FEES | | |
| Deposit | Large Small | | |
| Account Number 50-1196 | Entity Entity Fee | Fee Paid | |
| Deposit Nelson Mullins Riley | Code (\$) Code (\$) | 1 | |
| Account Name | 105 130 205 65 Surcharge - late filing fee or oath | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | |
| 2. Payment Enclosed: Check Credit card Money Order Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 920 217 460 Extension for reply within third month | | |
| 101 740 201 370 Utility filing fee 740.00 | 118 1,440 218 720 Extension for reply within fourth month | | |
| 106 330 206 165 Design filing fee | 128 1,960 228 980 Extension for reply within fifth month | | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | | |
| 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | |
| SUBTOTAL (4) (ft) 740 00 | 138 1,510 138 1,510 Petition to institute a public use proceeding | | |
| SUBTOTAL (1) (\$) 740.00 | 140 110 240 55 Petition to revive - unavoidable | | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,280 241 640 Petition to revive - unintentional | | |
| Extra Claims below Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | | |
| Total Claims 63 -20** = 43 x 18.00 = 774.00 Independent 8 2** - 5 x 84.00 470.00 | 143 460 243 230 Design issue fee | | |
| Claims | 144 620 244 310 Plant issue fee | | |
| Multiple Dependent =0.00 | 122 130 122 130 Petitions to the Commissioner | | |
| Lorge Entity Carell Entity | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | |
| Large Entity Small Entity Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 279 370 Request for Continued Examination (RCE) | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | |
| SUBTOTAL (2) (\$)1194.00 | Other fee (specify) | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | 0.00 | |

| SUBMITTED BY | | | Complete (i | if applicable) |
|-------------------|-------------------|-------------------------|-------------|----------------|
| Name (Print/Type) | Charles E. Qunlap | Registration No. 35,124 | Telephone | 864-250-2238 |
| Signature | all Int | 4 | Date | 02/21/2002 |

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